

Köln 2018-04-27

Phylogenetics: Why and How

T. Mark Ellison

<http://phylogenet.ics.pw>

What is Coming Up

- ❖ Why the biological phylogenetic approach to the history of languages get funding and media attention
- ❖ How biological phylogenetics works
- ❖ Limitations of this approach

Why Phylogenetics gets Attention

The Credibility Gap

letters to nature

LETTER

Evolved structural trends in word

Michael Dunn^{1,2}, Simon J. Greenhill

Languages vary widely but not with

linguistic shapes depicted in the accompanying figure. We have previously shown that, for this language family, the evolution of a particle and its use in a crystal growth process (Fig. 1, between

These particle labeling orders—acidating growth and growth inhibition—are involved. This work demonstrates that the use of subgroups to test competing geographic models. Here, we used two novel

Mapping of the

Remco Bouckaert, Alexander V. A. Marc A. Suchard

There are two conventional views of the origin of agriculture. Both the agricultural expansion hypothesis claim to 9500 years ago from 103 ancient family and test

Model-based inference of the origin of subgroups to test competing geographic models. Here, we used two novel

Journal	SJR Impact
Nature	18.13
Science	13.53
Nature Communications	6.40
PNAS	6.32
Proceedings of the Royal Society B	2.54
Language	1.13
Diachronica	0.31
Indogermanischen Forschungen	0.22
Historische Sprachforschung	0.10

le

1

and 2013, New Zealand

evolutionary history. in languages greatly in inheritance from



I. D. Gray^{b,h}

Department of Linguistic and Phonetics, University of Oxford, Oxford OX1 2JG, Australia; Australian National University, Canberra, ACT 2601, Australia; Max Planck Institute for Evolutionary Linguistics, Hamburg, Germany; The Netherlands

July 8, 2017

ARTICLE IN PRESS

Analyzing the structure of results linking the non-Indo-European languages back before the Austronesian (3, 4, 9). Given the great diversity of languages, this signal could be a group of structures that has some historical commonality. For these grammatical features, the effects of borrowing or directly or indirectly, remain relatively stable over time. However, grammatical structures have a limited ability to trace language contact (6), there are

The Credibility Gap

Publications in these generalist journals are picked up in the popular press around the world.

Here is a paper from PNAS:
(20x SJR *impact factor* of Diachronica)

Ultraconserved words point to deep language ancestry across Eurasia

Mark Pagel^{1,2,3}, Quentin D. Atkinson², Andreea S. Calude¹, and Andrew Meade³

¹School of Biological Sciences, University of Reading, Reading, Berkshire RG6 6AS, United Kingdom; ²Santa Fe Institute, Santa Fe, NM 87501; ³School of Psychology, University of Auckland, Auckland 1142, New Zealand; and ⁴Linguistics Programme, University of Waikato, Hamilton 3240, New Zealand

Edited by Colin Renfrew, University of Cambridge, Cambridge, United Kingdom, and approved April 15, 2013 (received for review October 31, 2012)

The search for ever deeper relationships among the World's languages is bedeviled by the fact that most words evolve too rapidly to preserve evidence of their ancestry beyond 5,000 to 9,000 y. On the other hand, quantitative modeling indicates that some "ultraconserved" words exist that might be used to find evidence for deep linguistic relationships beyond that time barrier. Here we use a statistical model, which takes into account the frequency with which words are used in common everyday speech, to predict the existence of a set of such highly conserved words among seven language families of Eurasia postulated to form a linguistic superfamily that evolved from a common ancestor around 15,000 y ago. We derive a dated phylogenetic tree of this proposed superfamily with a time-depth of ~14,450 y, implying that some frequently used words have been retained in related forms since the end of the last ice age. Words used more than once per 1,000 in everyday speech were 7- to 10-times more likely to show deep ancestry on this tree. Our results suggest a remarkable fidelity in the transmission of some words and give theoretical justification to the search for features of language that might be preserved across wide spans of time and geography.

cultural evolution | phylogeny | historical linguistics

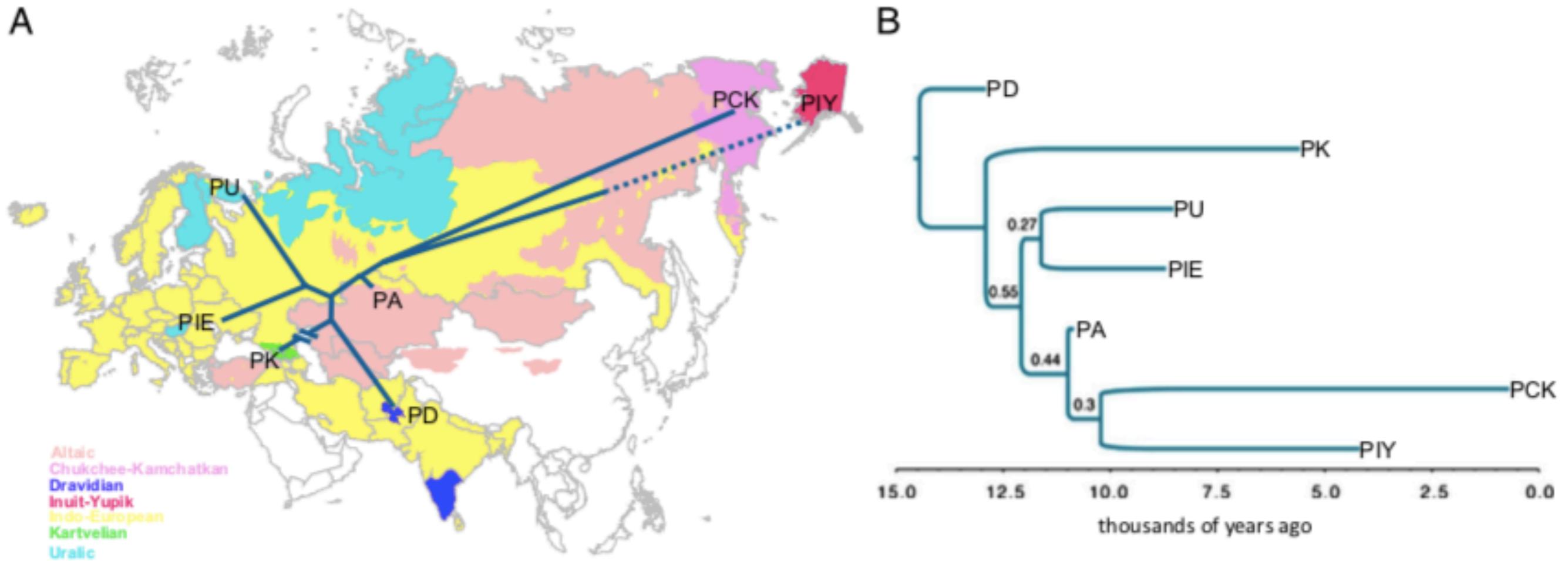
The English word *brother* and the French *frère* are related to the Sanskrit *bhrāta* and the Latin *frāter*, suggesting that words as mere sounds can remain associated with the same meaning for millennia. But how far back in time can traces of a word's genealogical history persist, and can we predict which words are

words have about a 50% chance of being replaced by a new noncognate word [a word's linguistic half-life (14, 15)], roughly every 2,000–4,000 y, consistent with the belief that words lose traces of their ancestry quickly. However, some words, such as the numerals, pronouns, and special adverbs (e.g., I, you, here, how, not, there, what, two, five) are replaced far more slowly, with half-lives of once every 10,000, 20,000 or even more years (14, 15).

Usefully, these words can be predicted from information independent of their sounds. We showed in a sample of Indo-European languages that the frequency with which a word is used in everyday speech, along with its part of speech, can predict how rapidly words evolve, with frequently used words on average retained for longer periods of time (14). We have recently extended this result to include speakers from the Uralic, Sino-Tibetan, Niger-Congo, Altaic, and Austronesian families, in addition to Indo-European, plus the isolate Basque and the Creole Tok Pisin (16). Even in languages as widely divergent as these, we found that a measure of the average frequency of use predicted rates of lexical replacement as estimated in the Indo-European languages.

Taken together, these findings suggest that the way we use a core set of vocabulary words in everyday speech is a stable and shared feature of human discourse, and raises the possibility that words will evolve in other language families at rates similar to those found in the Indo-European languages, with frequency of word-use acting as the common causal factor. This provides a statistical framework for predicting—without recourse to sound correspondences—words likely to show deep ancestry among

The Credibility Gap



The Credibility Gap

Heggarty (2013)

Yet their “data”... are not actually data in any sense that either the natural sciences or mainstream linguistics would recognize. They are subjective interpretations, not amenable to independent validation or replication, and widely rejected as vacuous by specialists in language reconstruction (2).

PNAS PNAS PNAS

LETTER

Ultraconserved words and Eurasiatic? The “faces in the fire” of language prehistory

Page et al. (1) claim support for a putative “Eurasiatic” macrofamily of languages and a dispersal ~14,450 BP. Yet their “data”—claimed reconstructions of Proto-Eurasiatic wordforms in the Languages of the World Etymological Database (LWED)—are not actually data in any sense that either the natural sciences or mainstream linguistics would recognize. They are subjective interpretations, not amenable to independent validation or replication, and widely rejected as vacuous by specialists in language reconstruction (2).

Moreover, the paper’s founding assumption is invalid: if, within a set of subjective interpretations, a given nonrandom pattern emerges, then that of itself constitutes proof that those interpretations cannot be mistaken. Such reasoning seems far removed from scientific method and practice.

Linguistic science has known for decades of certain “stable” meanings in which originally coenate words are replaced relatively slowly

the outputs of cumulative reconstruction and thus inherently “stability heavy,” a correlation that automatically carries through to LWED. In short, this is a case of correlation in, correlation out—and proof of nothing.

Moreover, the Moscow LWED school has long recognized (3) that its Eurasiatic hypothesis must “find” proto-forms above all in more stable meanings. For mainstream linguistics, that only tilts LWED’s subjective interpretations toward seeing cognate “faces in the fire” in just these meanings where Eurasiatic most needs them to exist.

“Ultraconserved words” are invalidated by several basic principles of linguistics: the relationship between sound and meaning is essentially arbitrary; change proceeds largely independently on each level; and in sound, changes generally apply without exception, irrespective of words’ meanings. Stability in meaning is powerless against instability in sound. Even if coenacy may survive for tens

methodology used is far from probative, and yields errors such as a Portuguese-Spanish split far too late, centered on AD 1500 (5). Also, Eurasiatic’s supposed “fit” with the usual suspect, the retreat of the glaciers, is only in (their) chronology. It is no explanation of why Eurasiatic should exist at all. Why should changing climate have favored just one language lineage, out of a single homeland, to dominate Eurasia, rather than a generalized advance of multiple, independent groups right across the continent?

Paul Heggarty¹
Department of Linguistics, Max Planck Institute for Evolutionary Anthropology, Deutscher Platz 6, 04103 Leipzig, Germany

1. Page JM, Atkinson RD, Galda AS, Muckle A (2013) Ultraconserved words point to deep language ancestry across Eurasia. *Proc Natl Acad Sci U S A* 110(11):4271–4276.
2. Heggarty P (2013) The Use and Abuse of Linguistic Methodology

Page et al. response: <http://www.pnas.org/content/pnas/early/2013/08/01/1309838110.full.pdf>

The Credibility Gap

In the press in the:

Washington Post



The Economist

The New York Times

The New Scientist

The Conversation

It should not be dismissed out of hand just because it does not respect the limitations of traditional historical linguistics.

<https://theconversation.com/thinking-the-unthinkable-tracing-language-back-15-000-years-14251>

Health & Science

Linguists identify 15,000-year-old 'ultraconserved words'

Correction: An earlier version of this article incorrectly referred to William Croft as William Cross.

By David Brown May 6, 2013

You, hear me! Give this fire to that old man. Pull the black worm off the bark and give it to the mother. And no spitting in the ashes!

It's an odd little speech. But if you went back 15,000 years and spoke these words to hunter-gatherers in Asia in any one of hundreds of modern languages, there is a chance they would understand at least some of what you were saying.

That's because all of the nouns, verbs, adjectives and adverbs in the

The Credibility Gap

- ❖ Public perceptions < mass media < high-prestige 'generalist' journals
 - ❖ not specialist journals
- ❖ high-impact-factor journals portrayed as *modern*
 - ❖ by strong association with hard sciences
 - ❖ contrast specialist journals as old-fashioned
- ❖ historical linguists, computational or otherwise, should understand this type of work enough to be able to comment appropriately

How Phylogenetics Works

Gray & Atkinson on Indo-European

published in *Nature* 2003

Motivating question: which account of I-E origins is better:

- ❖ Kurgan hypothesis: 7-8 kya from the Steppes
- ❖ Anatolian hypothesis: 8-9.5 kya from Anatolia (with agriculture)

Language-tree divergence times support the Anatolian theory of Indo-European origin

Russell D. Gray & Quentin D. Atkinson

Department of Psychology, University of Auckland, Private Bag 92019, Auckland 1020, New Zealand

Languages, like genes, provide vital clues about human history^{1,2}. The origin of the Indo-European language family is “the most intensively studied, yet still most recalcitrant, problem of historical linguistics”³. Numerous genetic studies of Indo-European origins have also produced inconclusive results^{4,5,6}. Here we analyse linguistic data using computational methods derived from evolutionary biology. We test two theories of Indo-European origin: the ‘Kurgan expansion’ and the ‘Anatolian farming’ hypotheses. The Kurgan theory centres on possible archaeological evidence for an expansion into Europe and the Near East by Kurgan horsemen beginning in the sixth millennium BP^{7,8}. In contrast, the Anatolian theory claims that Indo-European languages expanded with the spread of agriculture from Anatolia around 8,000–9,500 years BP⁹. In striking agreement with the Anatolian hypothesis, our analysis of a matrix of 87 languages with 2,449 lexical items produced an estimated age range for the initial Indo-European divergence of between 7,800 and 9,800 years BP. These results were robust to changes in coding procedures, calibration points, rooting of the trees and priors in the Bayesian analysis.

Gray & Atkinson on Indo-European

in summary:

they give a date for Proto-Indo-European split of 7800-9800BP (before present)

based on cognate retention/replacement, and dates for historical languages

Language-tree divergence times support the Anatolian theory of Indo-European origin

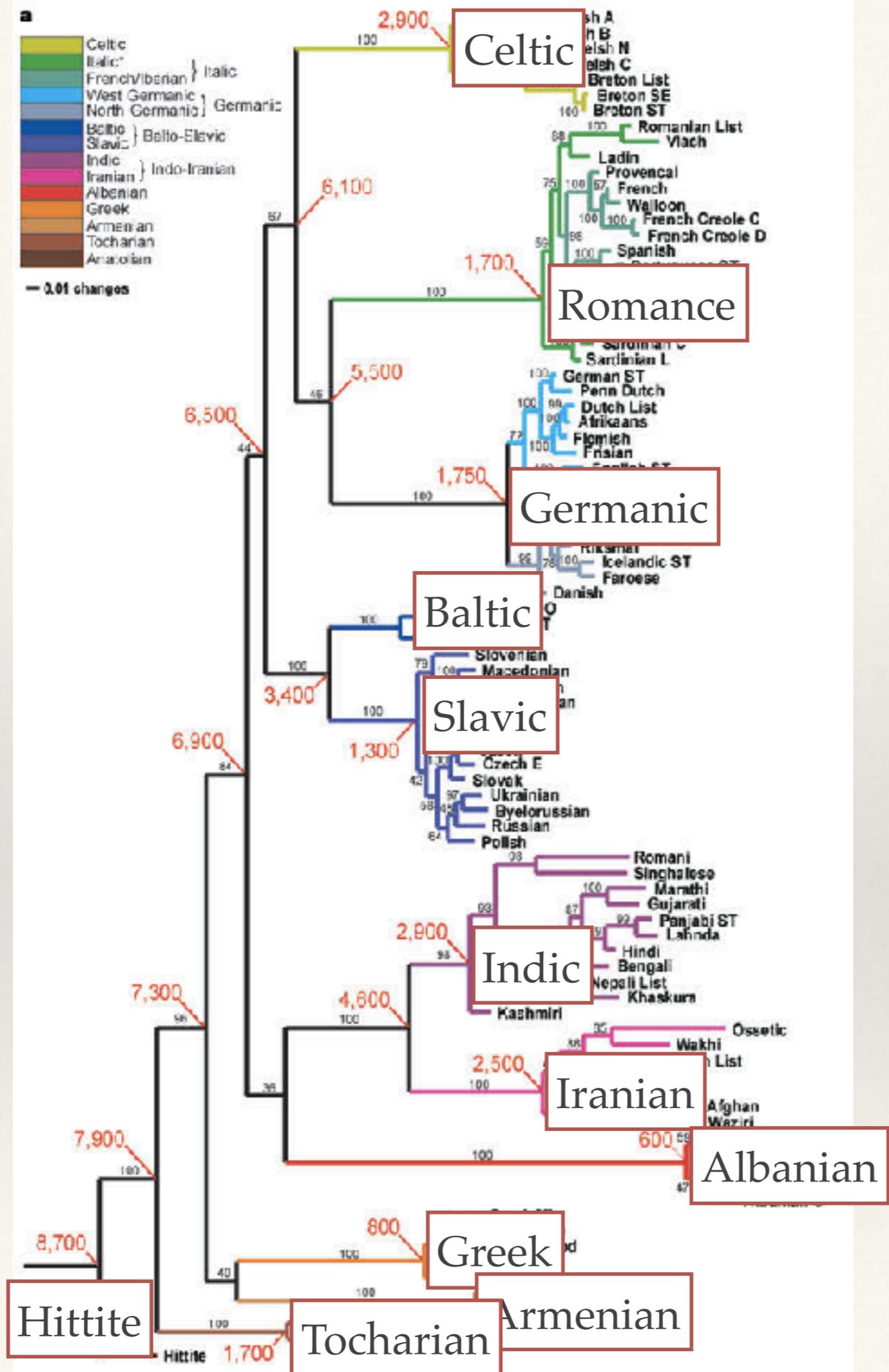
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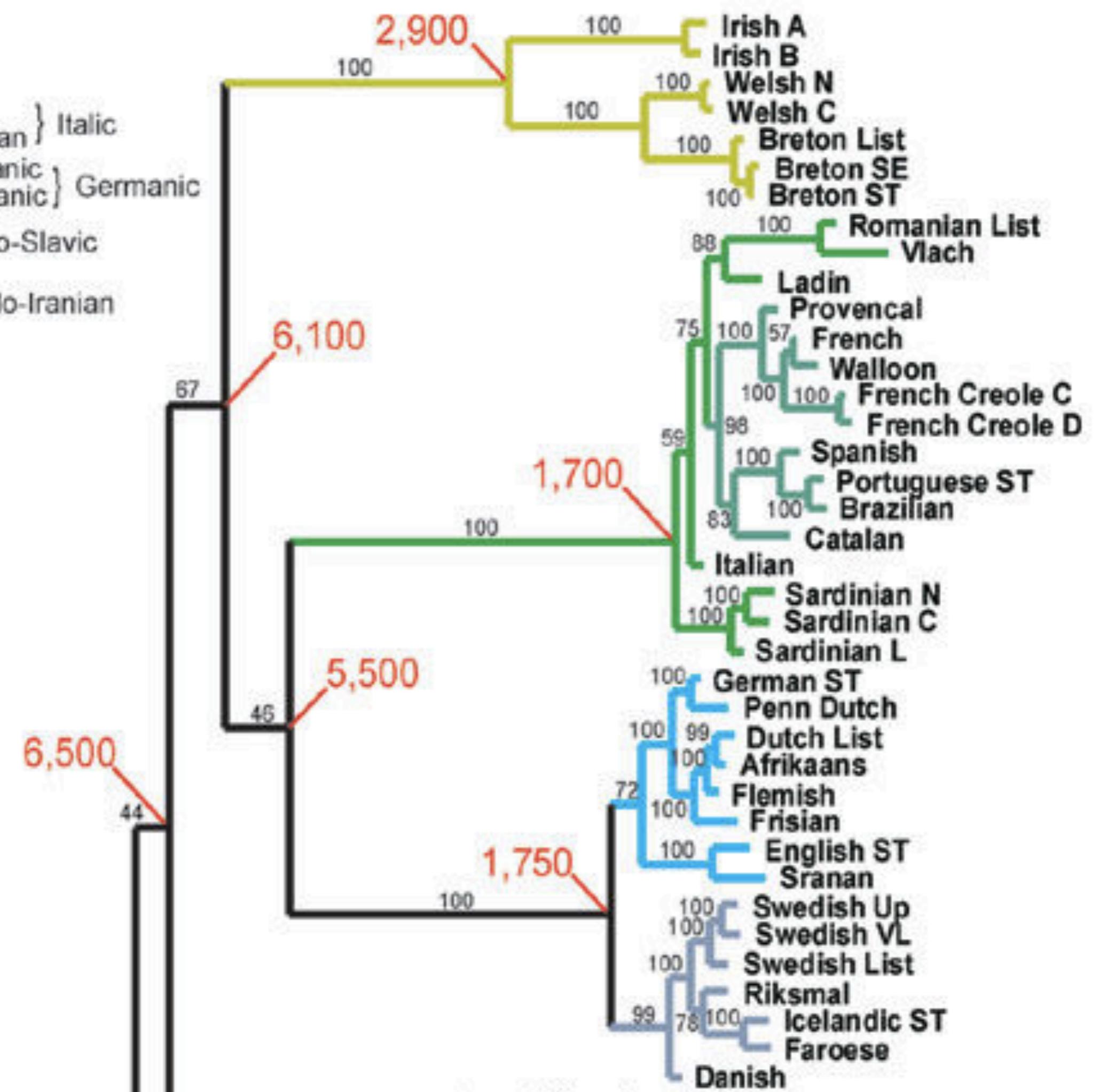
Glottochronology

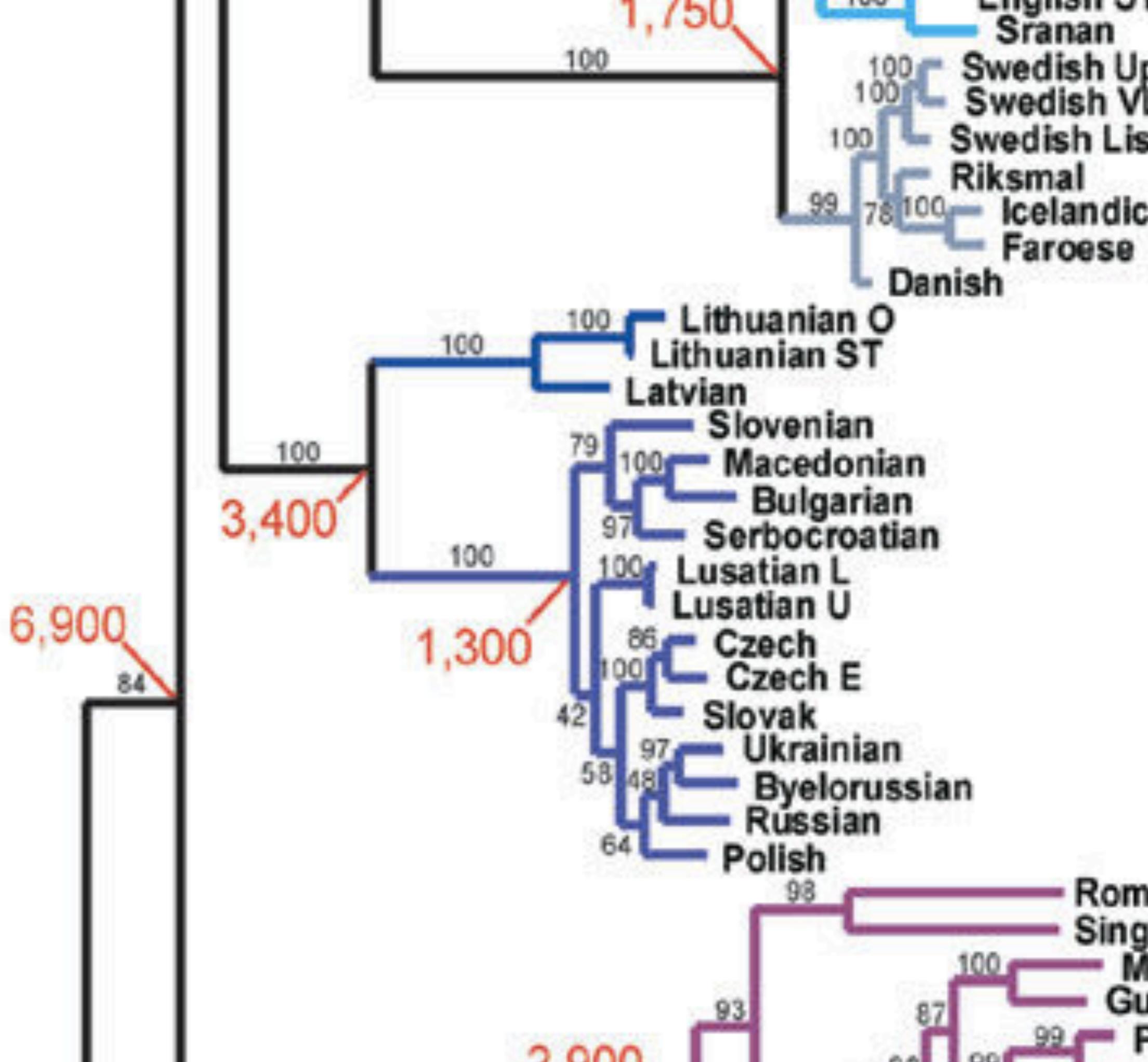
- ❖ aware of the failure of glottochronology
- ❖ argue that the biological methods are fundamentally different

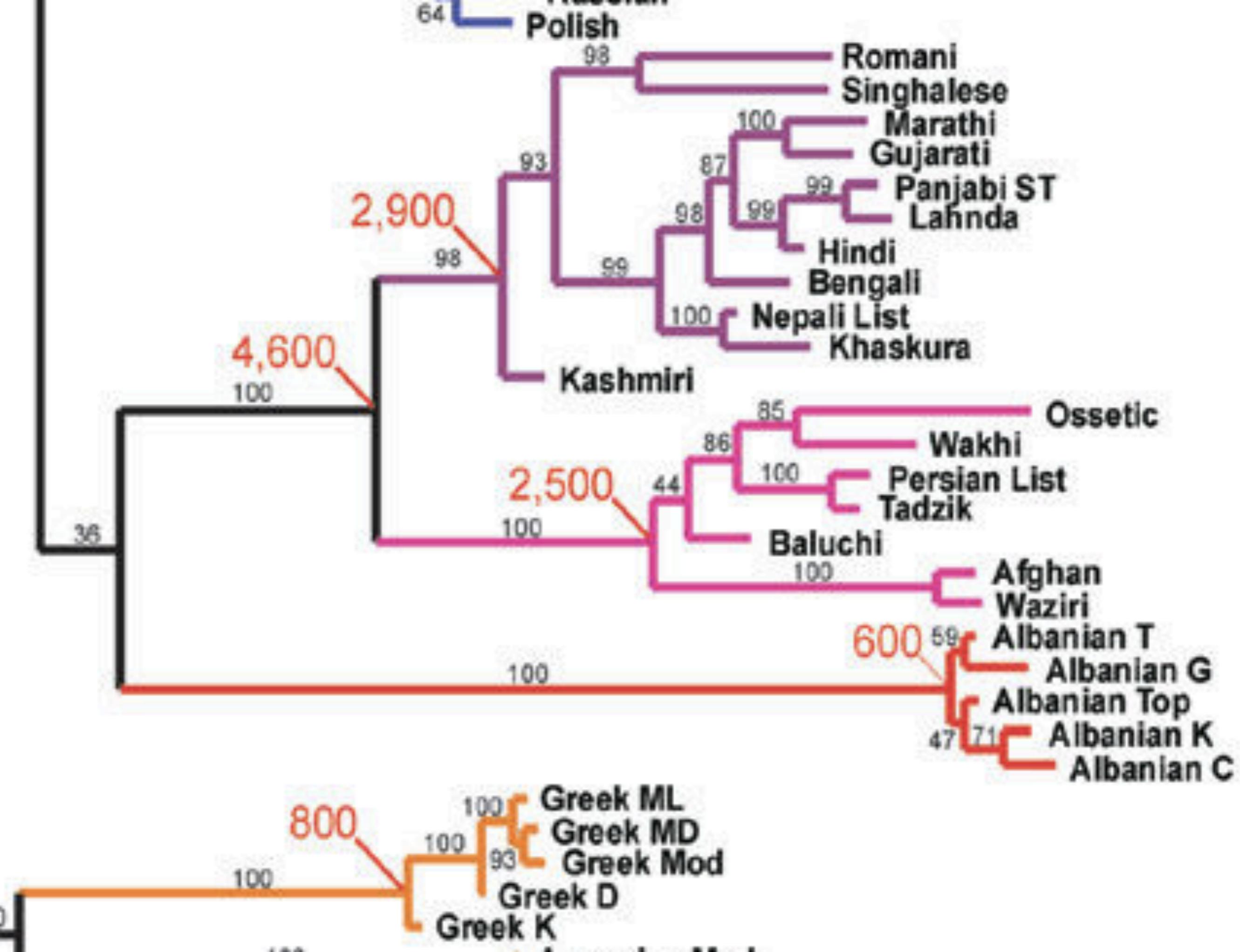


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— 0.01 changes

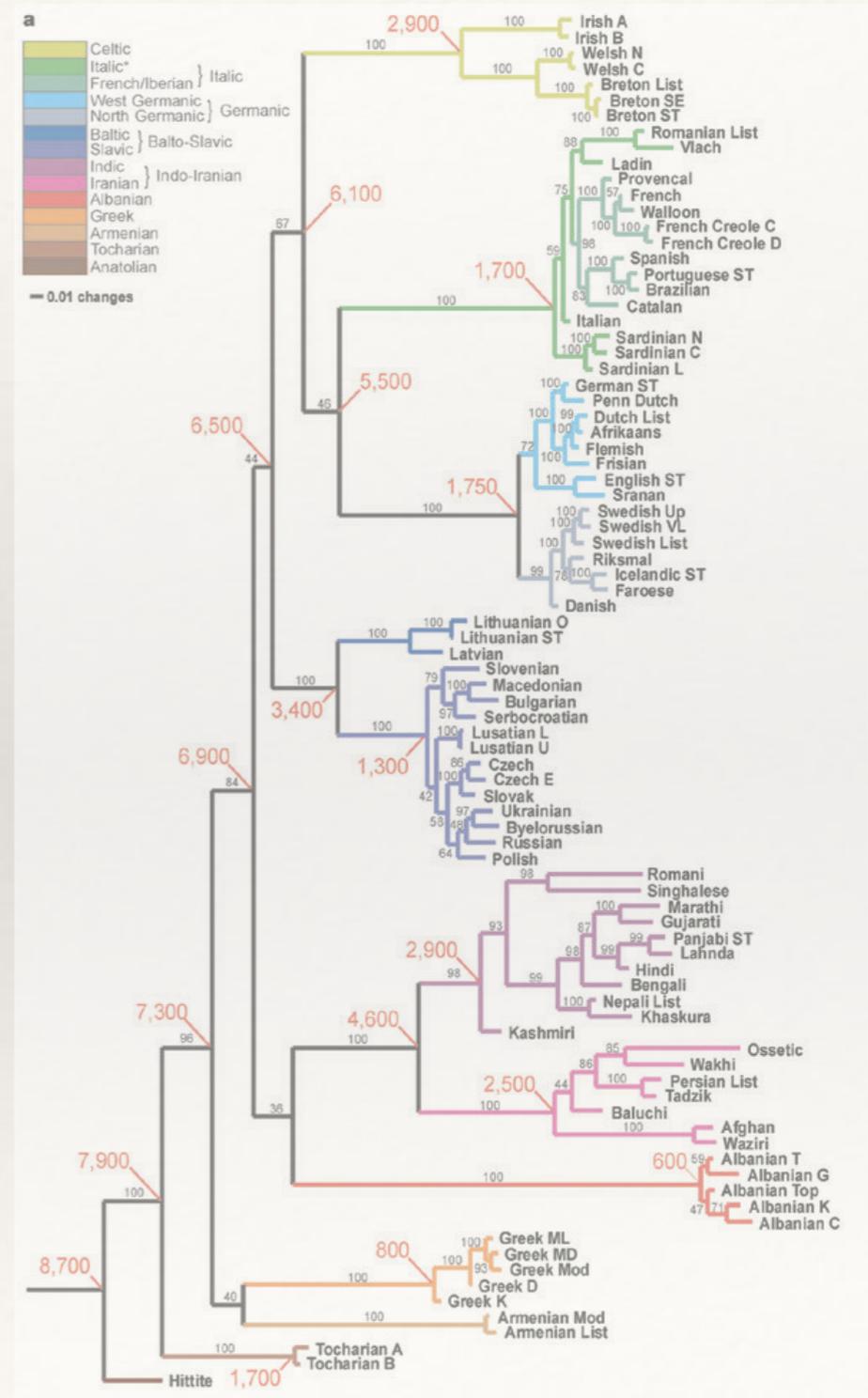






Understanding the Phylo Method

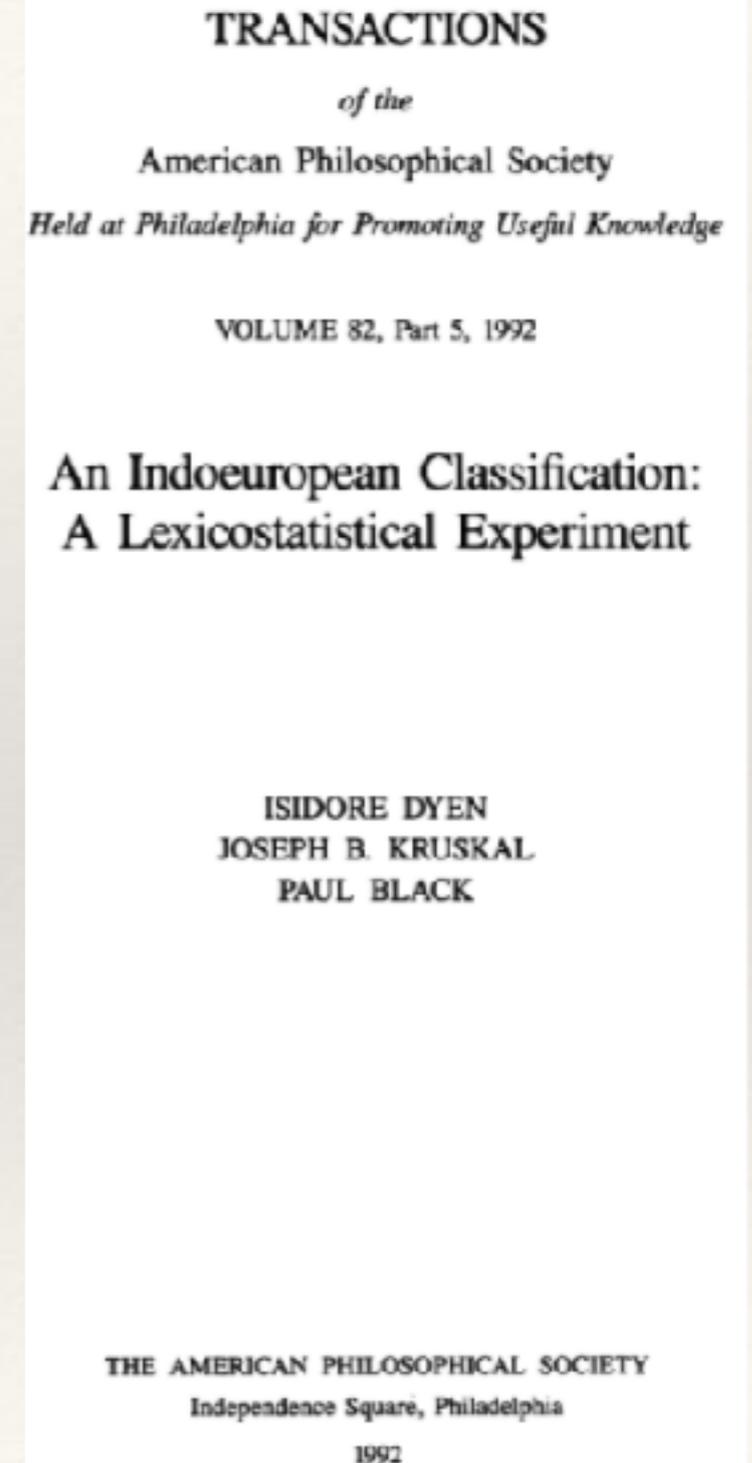
1. Indo-European cognate data
2. Prepare data into *characters*
3. The probability of *unit change*
4. The probability of change on a branch
5. The probability of a tree
6. Sampling the tree space
7. Consensus trees



The Data

Dyen, Kruskal & Black (1992) reports work on lexicostatistics using data collected at Charles Darwin University (Australia) in the 1960s.

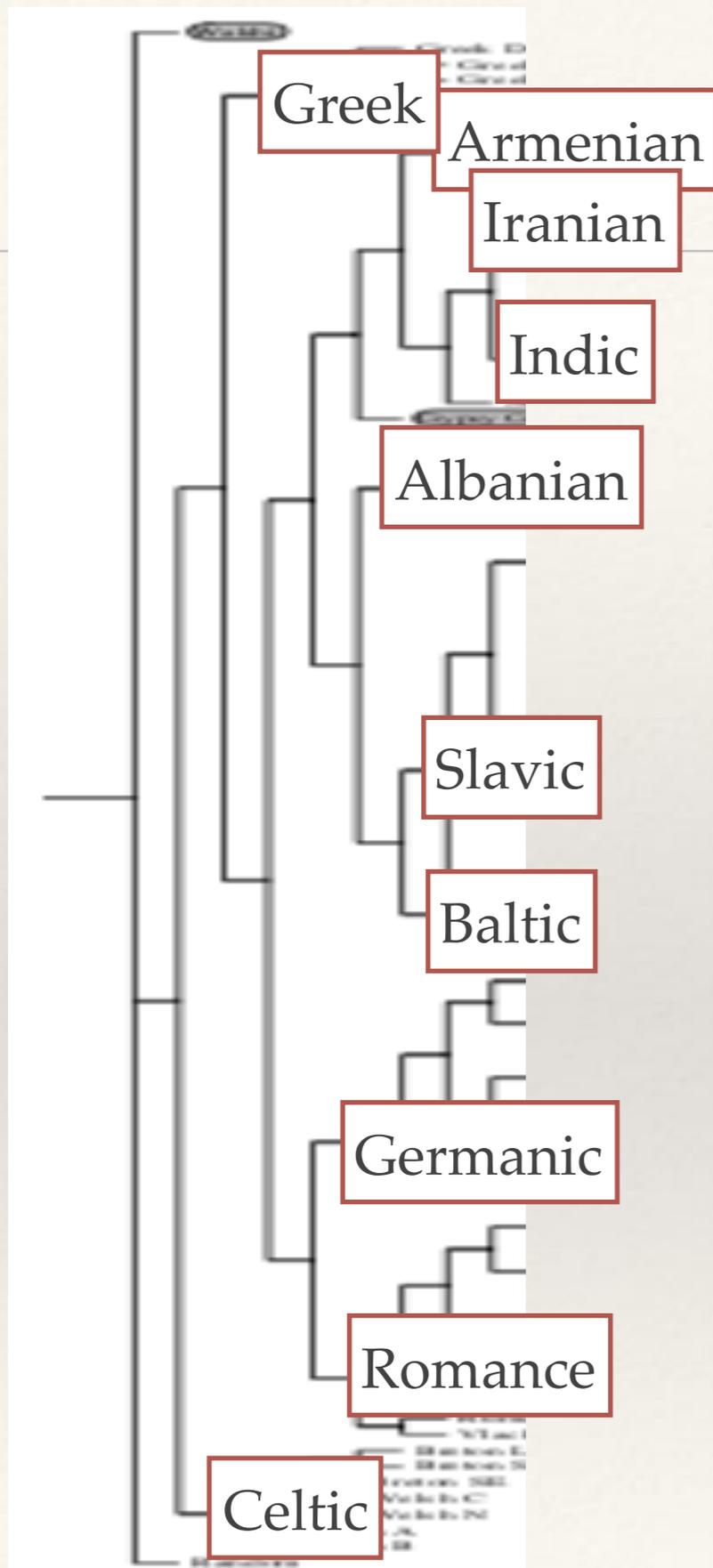
Used lexicostatistics to get groupings of subfamilies.



Cognates as Characters

- ❖ The Dyen data combines 200 meanings (extended Swadesh list), 87 languages, 1 word per language per meaning
- ❖ For each meaning, the authors determined cognate sets across the languages

Signal in the Data



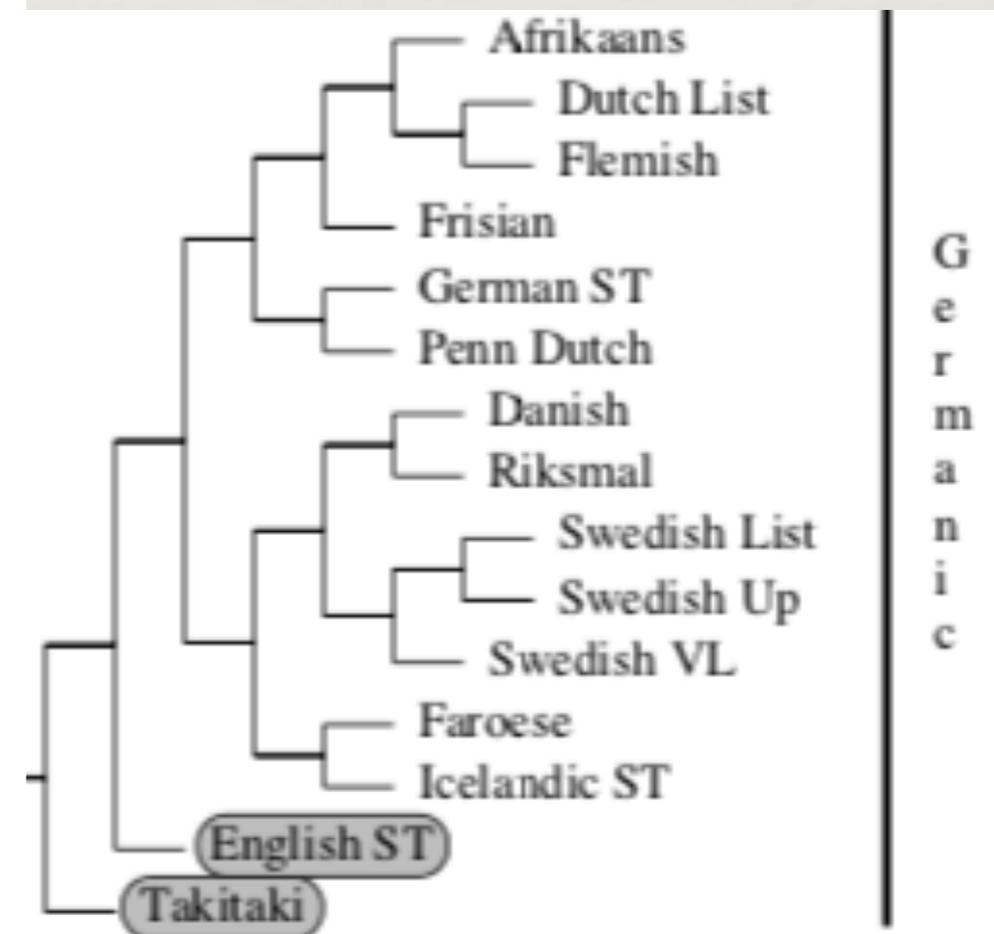
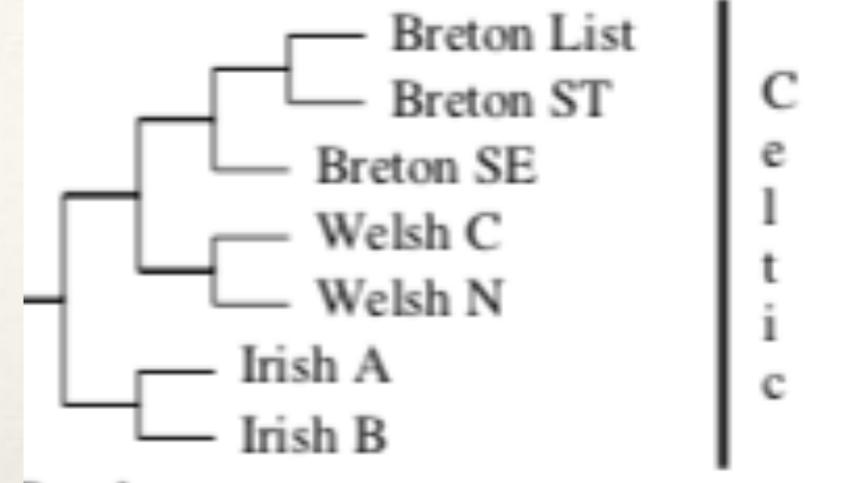
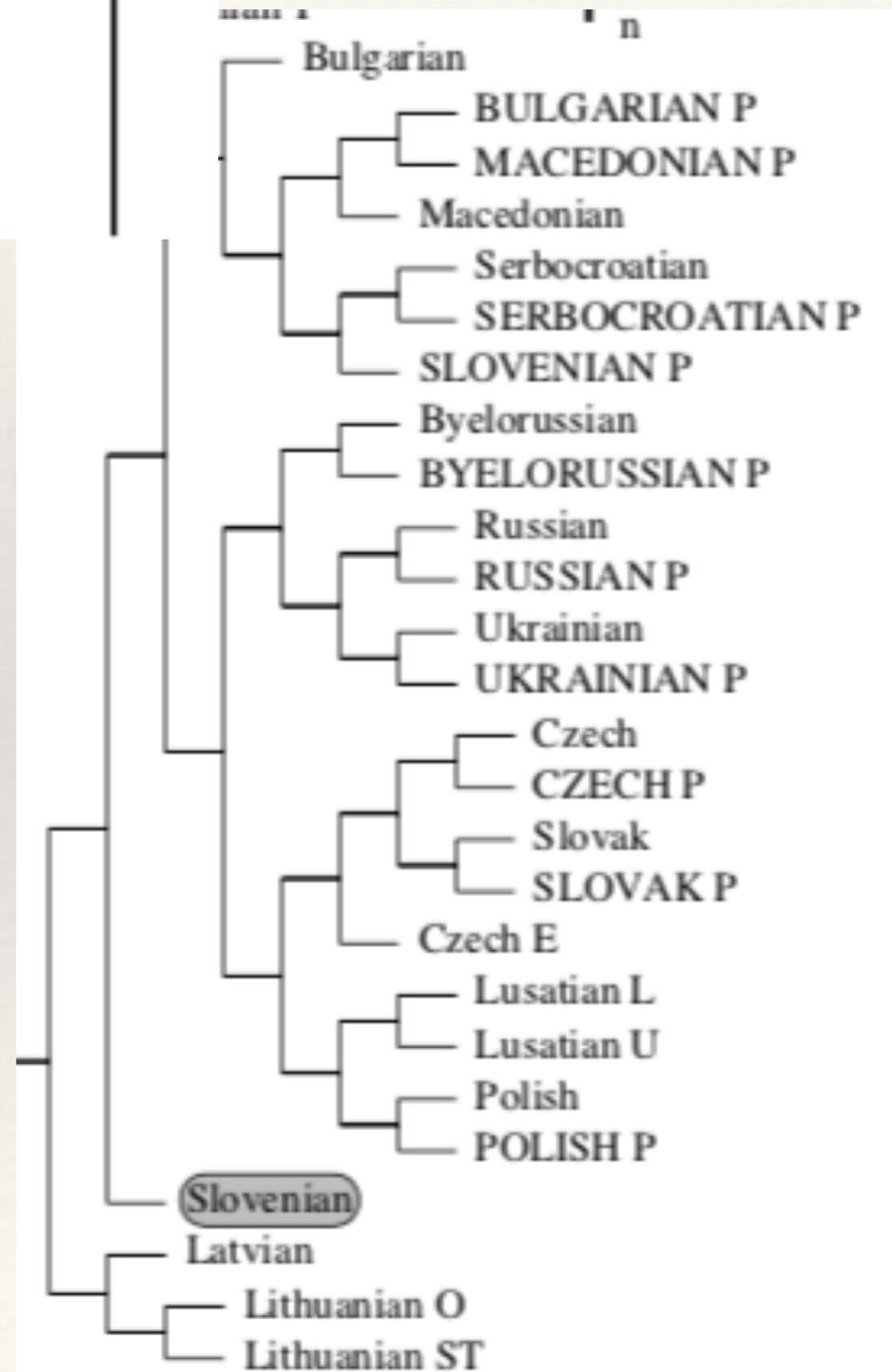
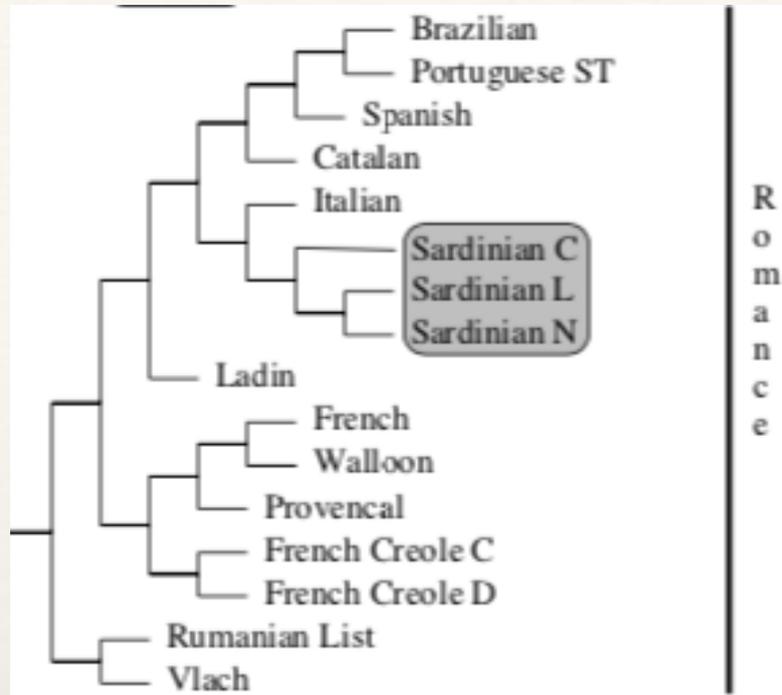
The data collected by Dyen et al. has a strong family tree signal.

There has been a cottage industry in Computational Linguistics building trees from this data using a wide range of methods.

Any reasonable method should be able to get close to the right tree.

E.g. Ellison & Kirby (2006)

Signal in the Data



The Data

MEANING	Sanskrit	Latin	Greek	English
I	aham	ego	egŏ	i
THREE	trí	trēs	treîs	three
MOTHER	mātr̥	māter	mētēr	mother
FIRE	agní	ignis	pûr	fire
SNAKE	sarpá	serpens	óphis	snake
TOOTH	dánta	dens	odoús	tooth
TO FLOAT	plávate	fluctuāre	néō	float
FULL	pūrṇá	plēnus	plérēs	full
...

Cognates as Characters

DNA uses 4 *bases* to encode its information: A C G T
 DNA sequences from different species can be aligned
 with the corresponding points (in columns) being called *characters*

Taxon \ Character		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34
		A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A	A
1	Homo sapiens	A	A	G	C	T	T	C	A	C	C	G	G	C	G	C	A	G	T	C	A	T	T	C	T	C	A	T	A	A	T	C	G	C	C
2	Pan	A	A	G	C	T	T	C	A	C	C	G	G	C	G	C	A	A	T	T	A	T	C	C	T	C	A	T	A	A	T	C	G	C	C
3	Gorilla	A	A	G	C	T	T	C	A	C	C	G	G	C	G	C	A	G	T	T	G	T	T	C	T	T	A	T	A	A	T	T	G	C	C
4	Pongo	A	A	G	C	T	T	C	A	C	C	G	G	C	G	C	A	A	C	C	A	C	C	C	T	C	A	T	G	A	T	T	G	C	C
5	Hylobates	A	A	G	C	T	T	T	A	C	A	G	G	T	G	C	A	A	C	C	G	T	C	C	T	C	A	T	A	A	T	C	G	C	C
6	Macaca fuscata	A	A	G	C	T	T	T	T	C	C	G	G	C	G	C	A	A	C	C	A	T	C	C	T	T	A	T	G	A	T	C	G	C	T
7	M. mulatta	A	A	G	C	T	T	T	T	C	T	G	G	C	G	C	A	A	C	C	A	T	C	C	T	C	A	T	G	A	T	T	G	C	T
8	M. fascicularis	A	A	G	C	T	T	C	T	C	C	G	G	C	G	C	A	A	C	C	A	C	C	C	T	T	A	T	A	A	T	C	G	C	C
9	M. sylvanus	A	A	G	C	T	T	C	T	C	C	G	G	T	G	C	A	A	C	T	A	T	C	C	T	T	A	T	A	G	T	T	G	C	C
10	Saimiri sciureus	A	A	G	C	T	T	C	A	C	C	G	G	C	G	C	A	A	T	G	A	T	C	C	T	A	A	T	A	A	T	C	G	C	T
11	Tarsius syrichta	A	A	G	T	T	T	C	A	T	T	G	G	A	G	C	C	A	C	C	A	C	T	C	T	T	A	T	A	A	T	T	G	C	C
12	Lemur catta	A	A	G	C	T	T	C	A	T	A	G	G	A	G	C	A	A	C	C	A	T	T	C	T	A	A	T	A	A	T	C	G	C	A

Cognates as Characters

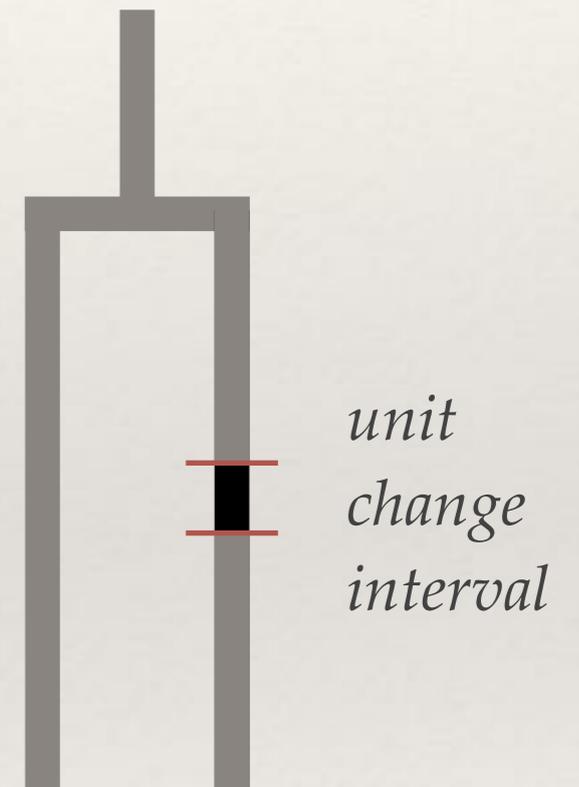
- ❖ The Dyen data uses 200 meanings, 87 languages, 1 word per language per meaning
- ❖ Each language has its 'lexicon' defined by a vector of 2449 binary numbers, each one indicating that a particular *meaning-cognateset* combination is present or absent
- ❖ **PROBLEM:** as a language can only has one word per meaning, characters are not independent: FIRE / *fire* only when not FIRE / *ignis* (from language.log)

Normal Transmission

What happens in a *unit change interval* - is now a question of character change.

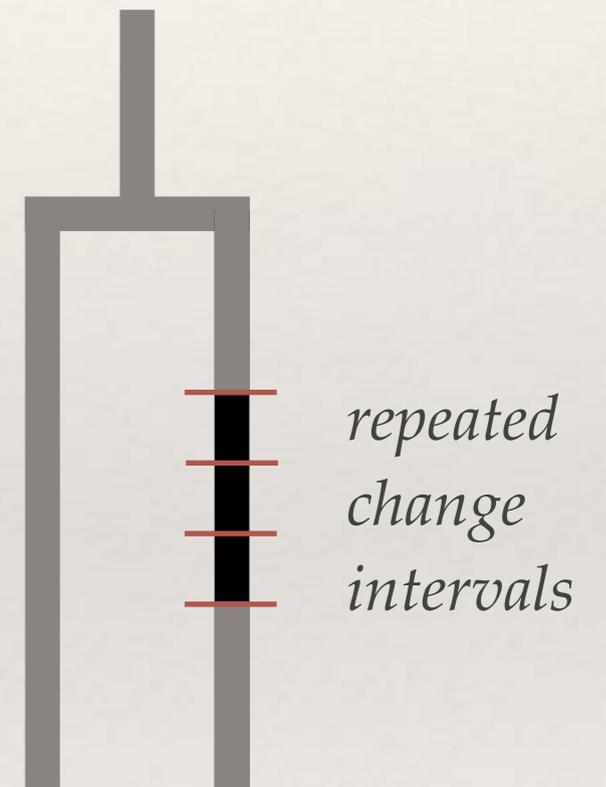
In the course of a unit interval what is the probability of:

- a) a character changing $1 \mapsto 0$
- b) a character changing $0 \mapsto 1$



Normal Transmission

- ❖ over multiple change intervals, the same probabilities of transition apply
- ❖ if we know the values at the start and end of a stretch of change intervals, we can work out how likely that change was (given our model)
- ❖ **assuming the characters vary independently**

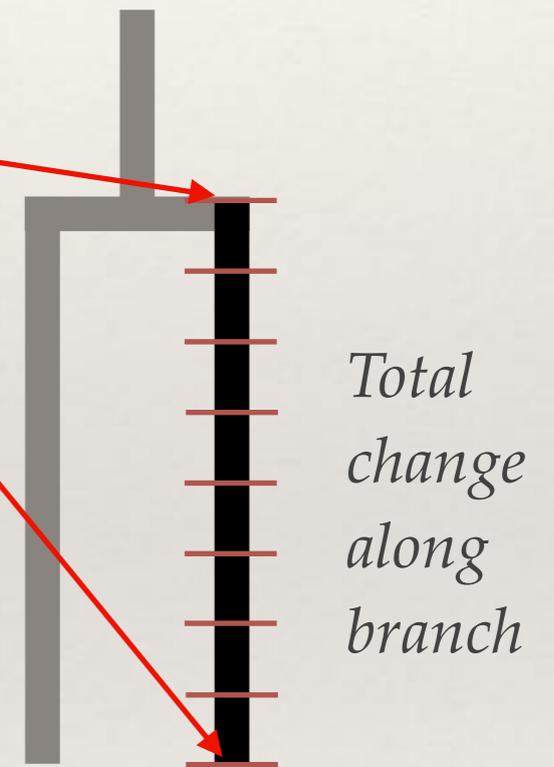


MCMC SIMULATION

Normal Transmission

if we know

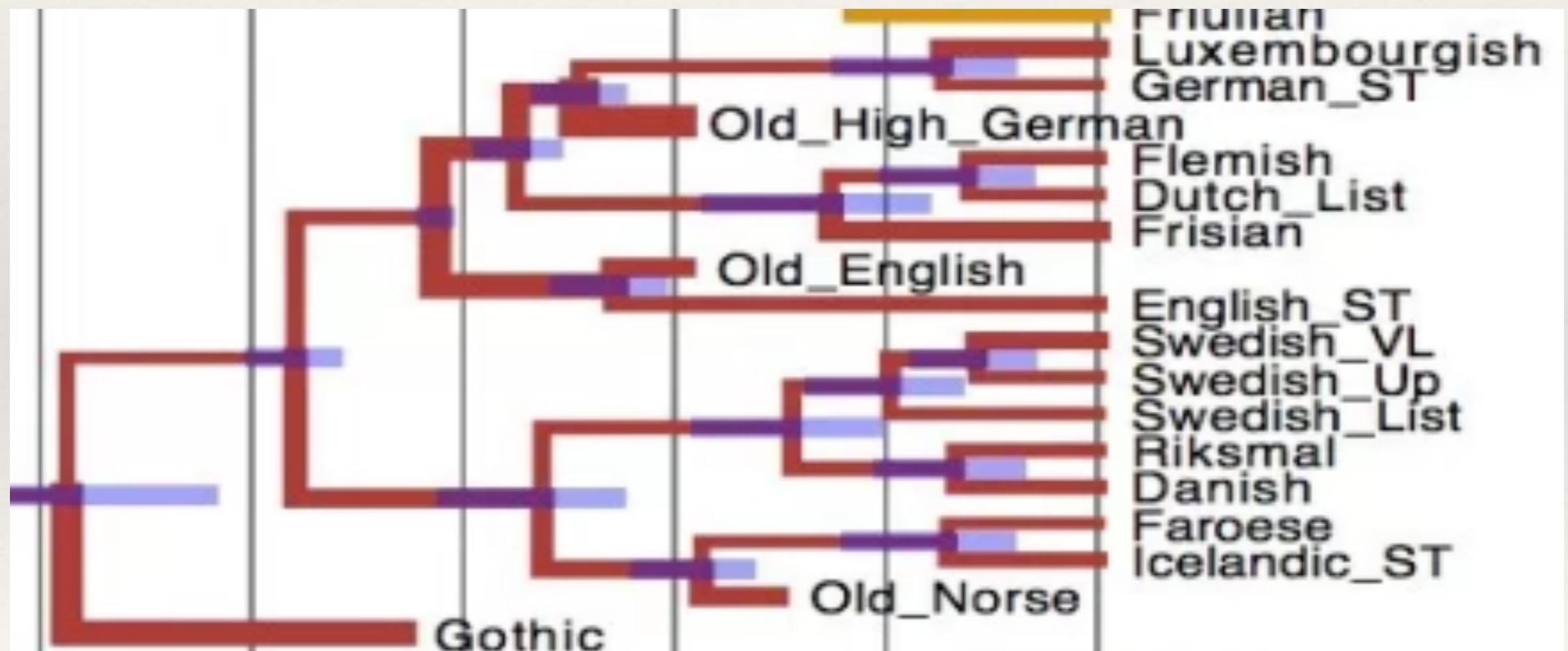
- ❖ character vector at parent
- ❖ character vector at child
- ❖ number of change intervals per year **0.01**
- ❖ time-length along branch **800 (8 intervals)**
- ❖ transition probabilities



we can work out the probability of the change seen in that branch

Normal Transmission

Bouckaert & al (2012) Mapping the Origins and Expansion of the Indo-European Language Family *Science*



Each Possible Phylogeny Specifies:

Probabilities of transition: $1 \mapsto 0$ and $0 \mapsto 1$

At each branch-point or leaf:

- ❖ a character vector

On each branch

- ❖ a change rate, and

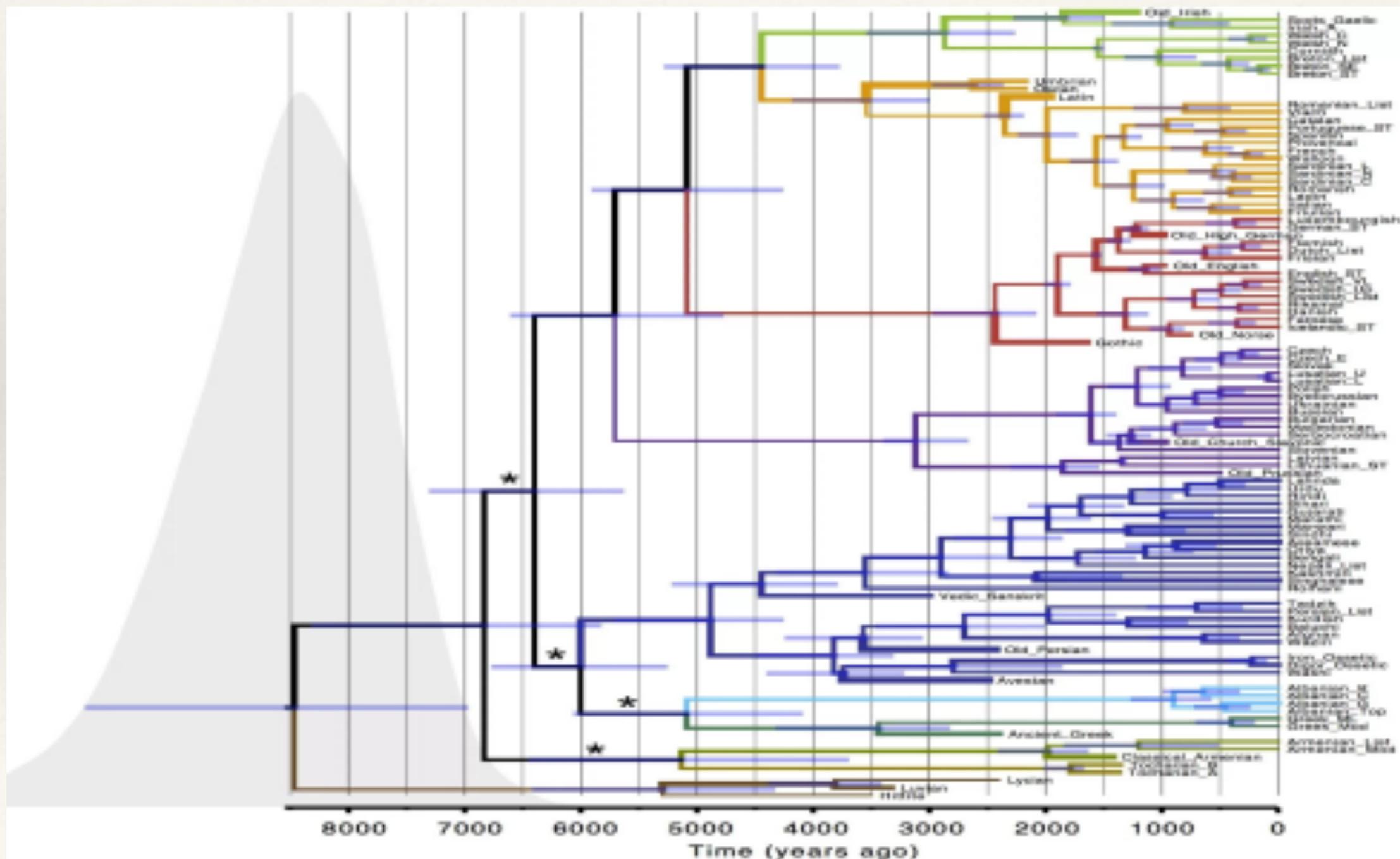
- ❖ a duration

Work out probability of each branch

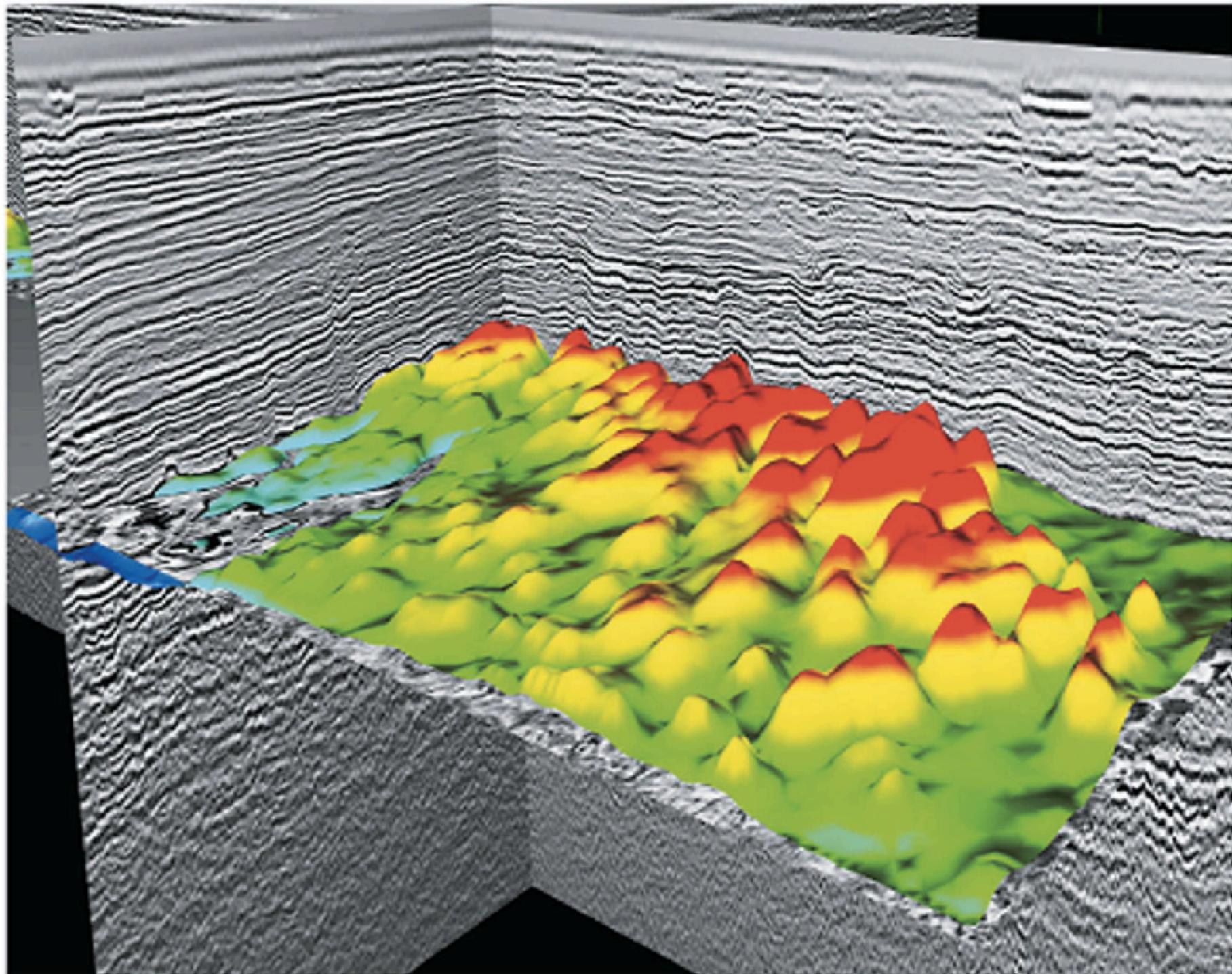
Product these for probability on total tree

Normal Transmission

Bouckaert & al (2012) Mapping the Origins and Expansion of the Indo-European Language Family *Science*



A Distribution on Trees



Finding Good Trees

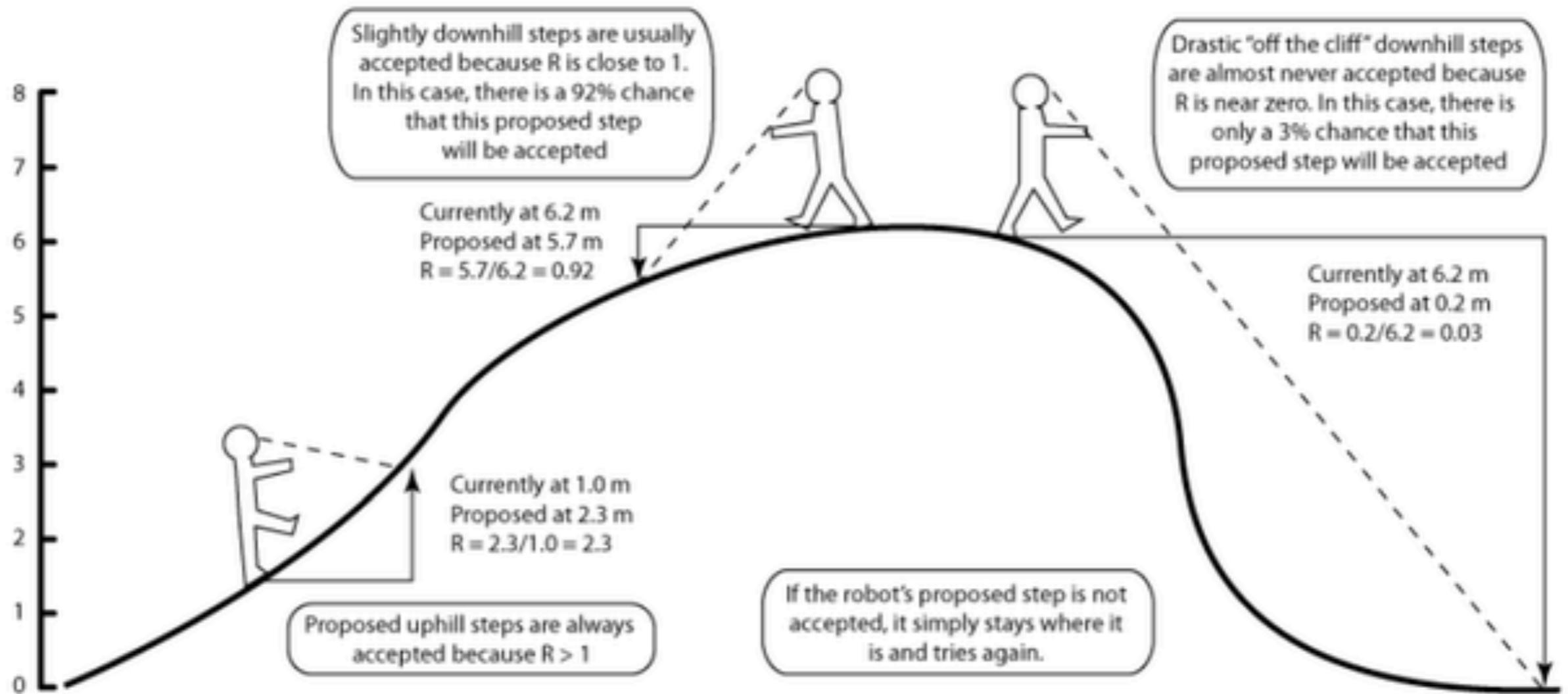


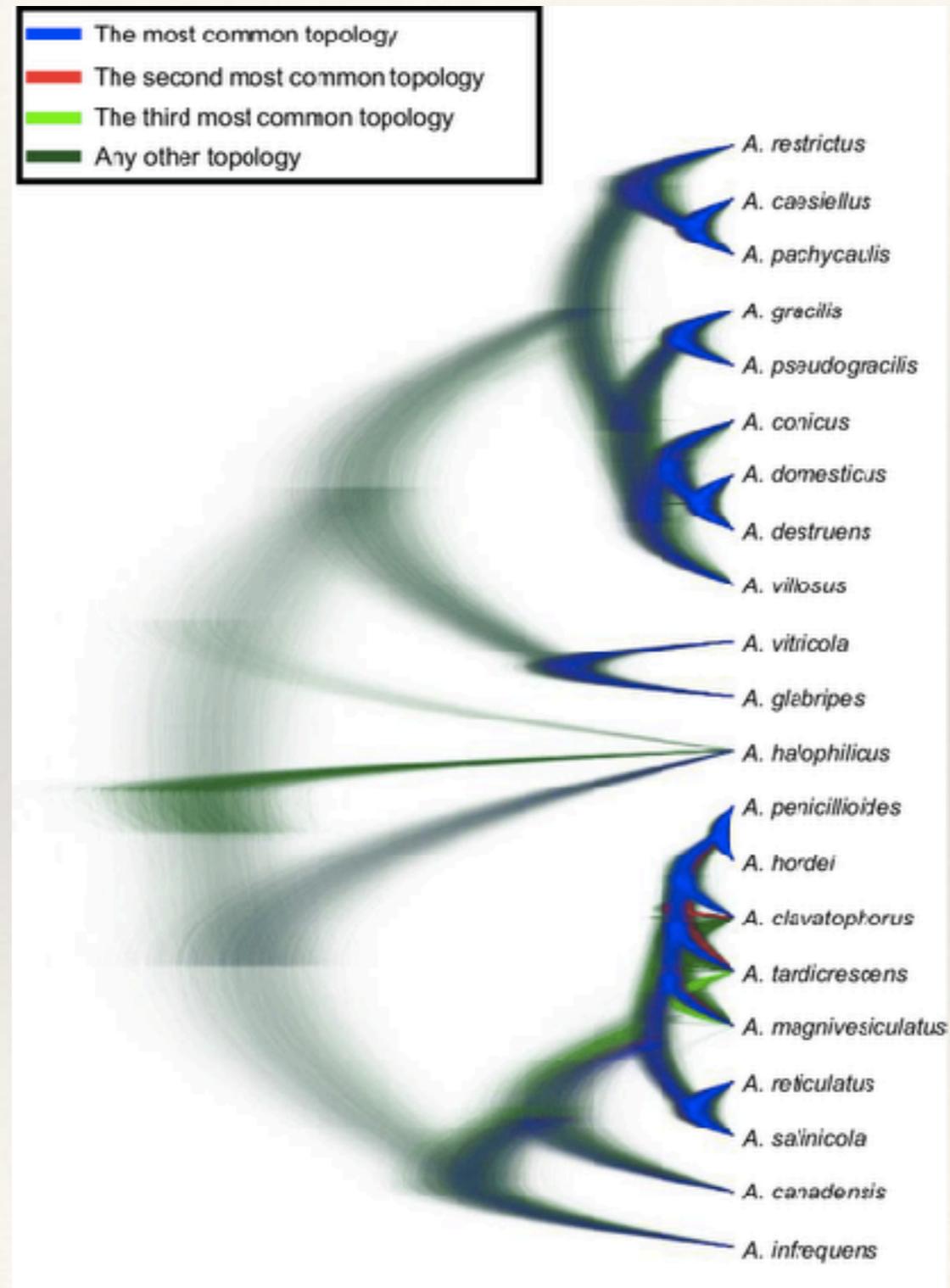
Illustration of MCMC method process (Lewis, 2011)

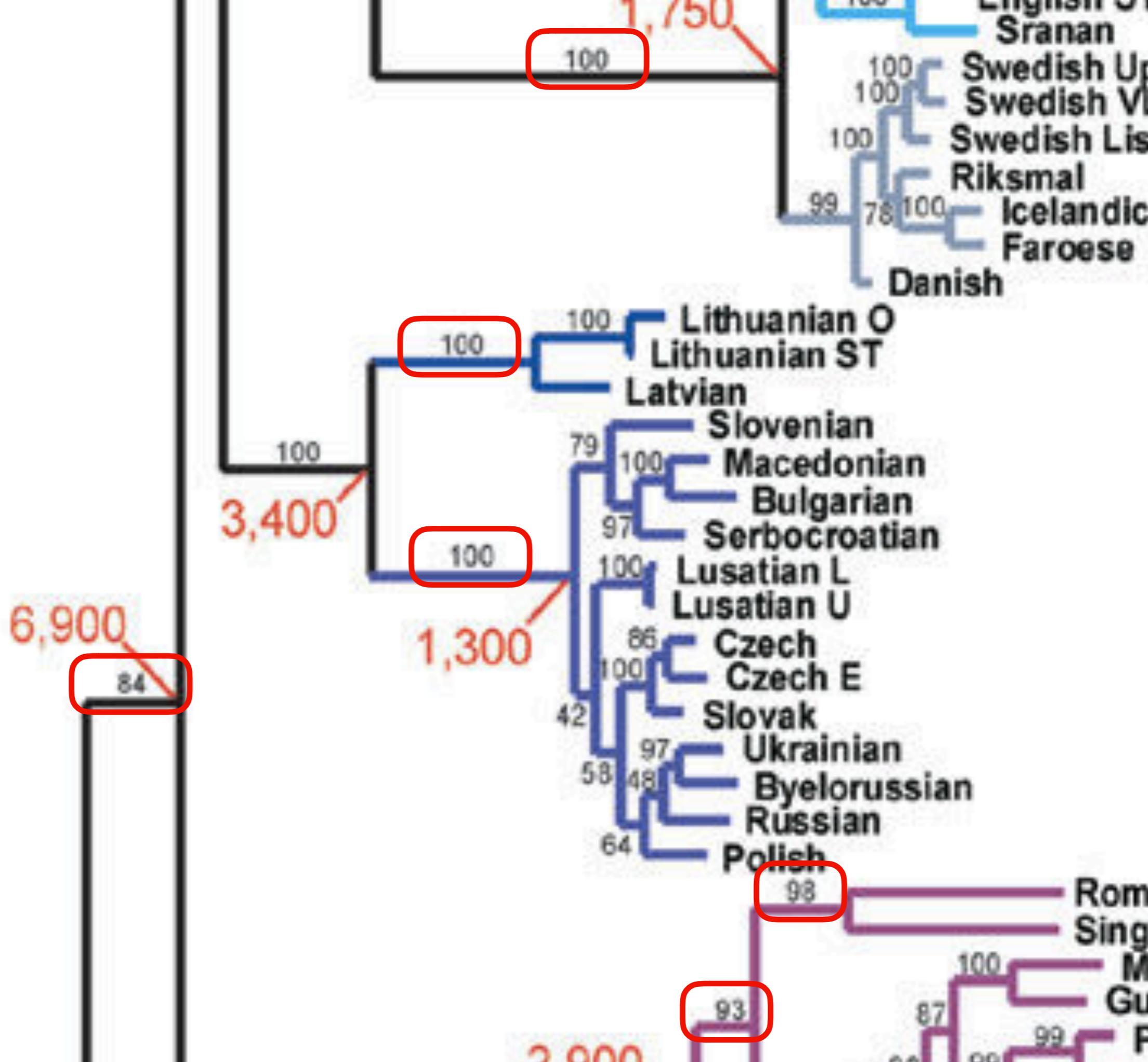
Building a Consensus Tree

Collect 1000 relatively probable trees

Create a consensus tree: retain subgroups that they agree on

Building a Consensus Tree







TREE THINKING

AN
INTRODUCTION
TO
PHYLOGENETIC
BIOLOGY

DAVID A.
BAUM
&
STACEY D.
SMITH

Recommended introduction to how biological phylogenetics builds trees from data.

Language phylo people use the same techniques.

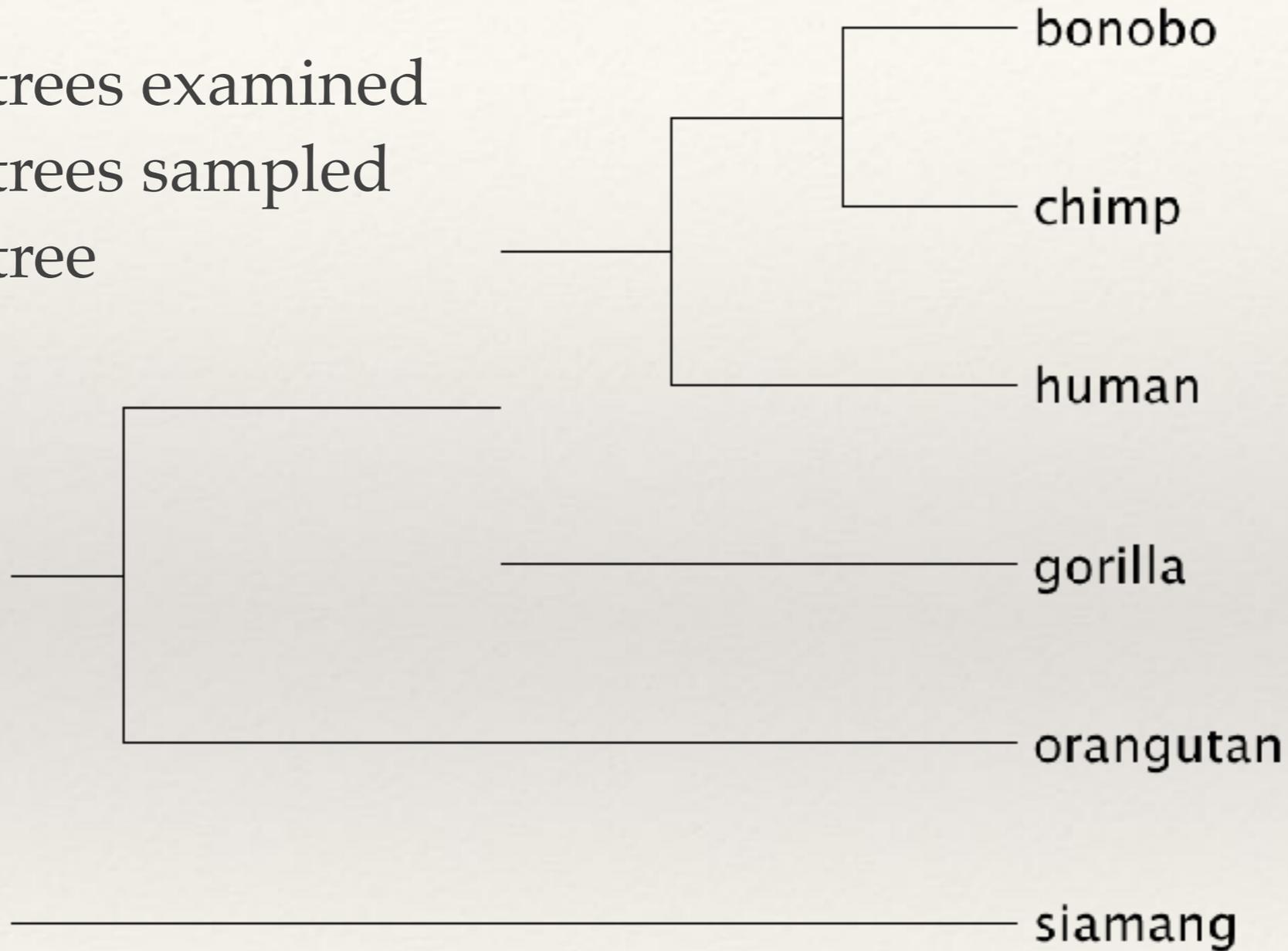
Software

Tutorials helpful for BEAUti and BEAST etc.

- ❖ **BEAUti** - sets up the parameters for doing a phylogenetic analysis
- ❖ **BEAST** - does the sampling of the tree distribution
- ❖ **TreeAnnotator** - pulls together information from this sample
- ❖ **FigTree** - view trees in various formats

Apes

10 000 000 trees examined
10 000 trees sampled
consensus tree



Limitations

Loss of Information

- ❖ phylo is informationally shallow: each word is no more than its cognacy affiliation
- ❖ detailed linguistic investigation is important for understanding not just change in the language, but society at large

Calibration

- ❖ *dates out* rely on *dates in*
- ❖ dated data is needed (e.g. dated written languages)
- ❖ the more you allow rate change, the more calibration data you need

Too Much Consistency

- ❖ same rate of change for all lexemes
 - ❖ addressed (at least partially) by *covariation* model of lexical togetherness
- ❖ same rate of change for all branches
 - ❖ addressed by allowing rates to vary but encouraging similar rates in similar languages

Dendrophilia

Before branching: 0% independence

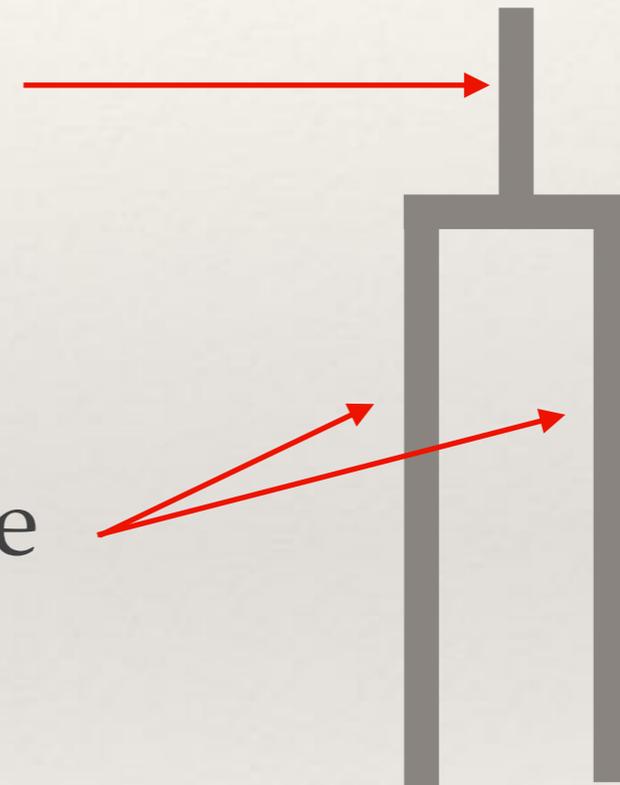
between communities:

total togetherness

After branching: 100% independence

of communities:

total isolation



Communities in contact lie in the middle
diffusion, borrowing, anti-doppel effects

Dendrophilia

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Does horizontal transmission invalidate cultural phylogenies?

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Phylogenetic methods have recently been applied to studies of cultural evolution. However, it has been claimed that the large amount of horizontal transmission that sometimes occurs between cultural groups invalidates the use of these methods. Here, we use a natural model of linguistic evolution to simulate borrowing between languages. The results show that tree topologies constructed with Bayesian phylogenetic methods are robust to realistic levels of borrowing. Inferences about divergence dates are slightly less robust and show a tendency to underestimate dates. Our results demonstrate that realistic levels of reticulation between cultures do not invalidate a phylogenetic approach to cultural and linguistic evolution.

Keywords: cultural phylogenetics; horizontal transfer; borrowing; simulation

1. INTRODUCTION

The only figure in Darwin's (1859) *Origin of species* is a tree. This figure represents Darwin's view of evolution as a process of descent with modification from a common ancestor. Since the publication of that book, there has been an ongoing debate about how evolutionary ideas can be applied to cultural and linguistic changes (Aunger 2000; Laland & Brown 2002; Carneiro 2003). The last few decades in evolutionary biology have seen the realization that 'tree thinking' (O'Hara 1988) is not just a way of describing evolution, but a way of testing evolutionary hypotheses. Evolutionary biologists have

However, like other attempts to Darwinize culture, the phylogenetic approach has been highly controversial (Gould 1987, 1991; Bateman *et al.* 1990; Moore 1994; Bellwood 1996; Bergerhoff Mulder 2001; Holden & Shennan 2005; Tëmkin & Eldredge 2007). In particular, it is frequently argued that the horizontal transmission of traits is rampant in cultural evolution:

Human cultural evolution proceeds along paths outstandingly different from the ways of genetic change... Trees are correct topologies of biological evolution... In human cultural evolution, on the other

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ARTICLES

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The origin and expansion of Pama-Nyungan languages across Australia

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It remains a mystery how Pama-Nyungan, the world's largest hunter-gatherer language family, came to dominate the Australian continent. Some argue that social or technological advantages allowed rapid language replacement from the Gulf Plains region during the mid-Holocene. Others have proposed expansions from refugia linked to climatic changes after the last ice age or, more controversially, during the initial colonization of Australia. Here, we combine basic vocabulary data from 306 Pama-Nyungan languages with Bayesian phylogeographic methods to explicitly model the expansion of the family across Australia and test between these origin scenarios. We find strong and robust support for a Pama-Nyungan origin in the Gulf Plains region during the mid-Holocene, implying rapid replacement of non-Pama-Nyungan languages. Concomitant changes in the archaeological record, together with a lack of strong genetic evidence for Holocene population expansion, suggests that Pama-Nyungan languages were carried as part of an expanding package of cultural innovations that probably facilitated the absorption and assimilation of existing hunter-gatherer groups.

Most of human prehistory has played out among hunter-gatherer societies. However, the expansion of agriculture in the past 10,000 years has replaced much of the world's cultural and linguistic diversity¹ and, in so doing, erased evidence of past hunter-gatherer expansions. In Australia, the cultural legacy of one large-scale hunter-gatherer expansion remains uniquely well preserved in the linguistic diversity of the continent. Of the 28 language families of mainland Australia, 27 are restricted to the far north, while one family—Pama-Nyungan—covers the remaining 90% of the continent². It is now well established that Aboriginal Australians have inhabited Australia for more than 50,000 years^{3,4},

divergence and convergence processes that date back to the initial colonization of the continent ~40–55 ka^{5,6}.

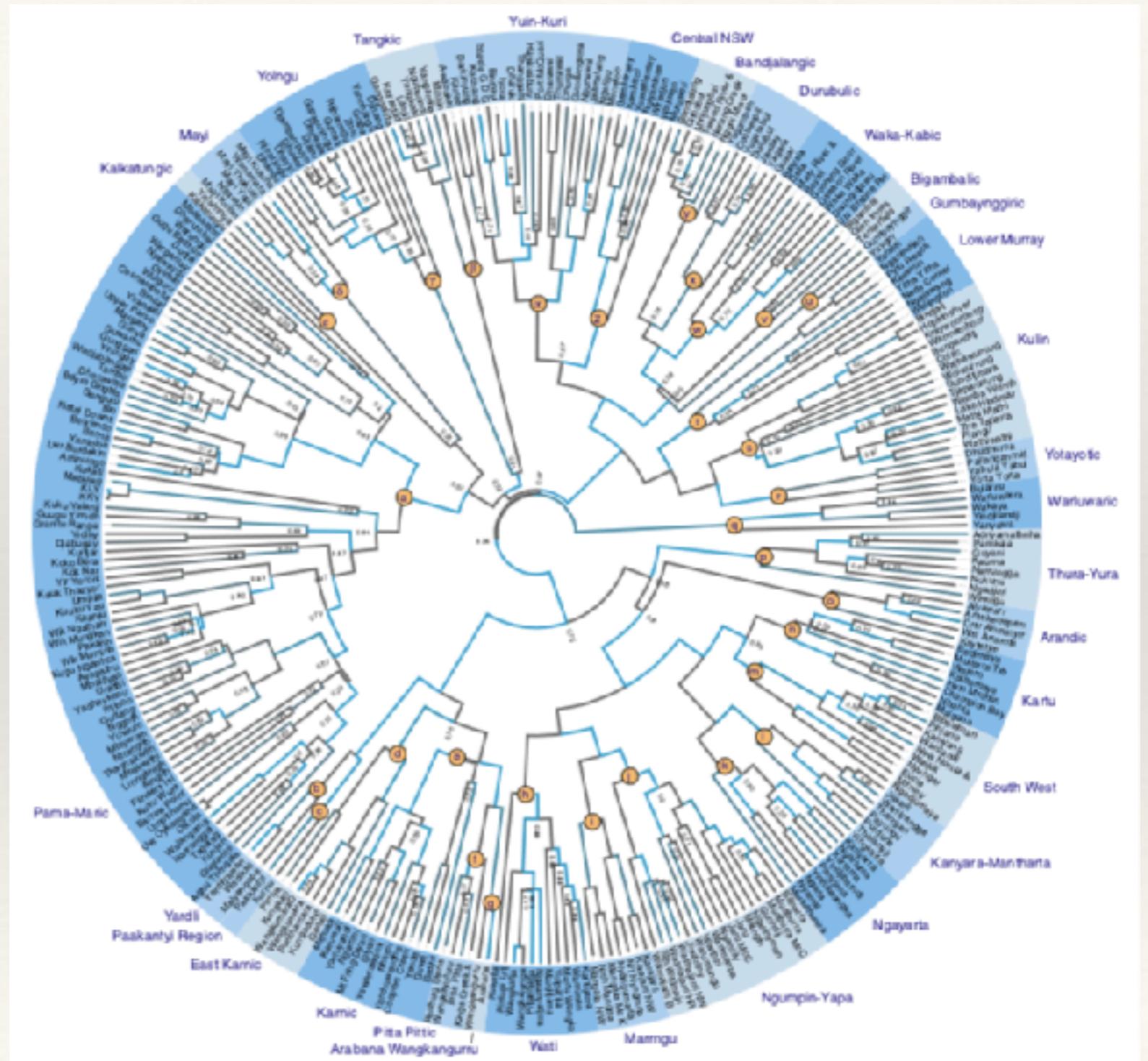
Current genetic and linguistic evidence for the origin of the family is inconclusive. Recent whole-genome analysis of Pama-Nyungan speakers⁷ has revealed an intriguing correspondence between genetic and linguistic divergence⁸ and finds evidence for an early northeast–southwest split 10–31 ka. However, a lack of samples from non-Pama-Nyungan languages in the north means this signal cannot be tied to Pama-Nyungan specifically. Simple distance-based metrics using the percentage of homologous words or ‘cognates’ shared between Pama-Nyungan languages imply an

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Pama-Nyungan
4.5-7kya

genetics suggest no
major migration
since since
settlement ~60kya

borrowings lead to
phylogenetic
underestimation of
age - factor of 10?



What Was

- ❖ Phylogenetic approach to the history of languages gets published in the 'top' non-specialist journals - reaching a wide audience
 - ❖ would be good for specialists to publish there too
- ❖ Phylo works by evaluating the probability of a tree+change model, and then builds most likely trees
- ❖ It throws away a lot of detail; while making assumptions of its own

